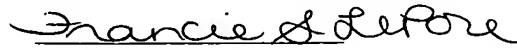


CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited today with the U.S. Postal Service
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Attorney Docket No.
A1682Q1-US-DIV

on 4/21/2004
Date


Francie S. LePore

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Gabriel Iftime et al.

Group Art Unit:

Application No.: (not assigned yet)

Examiner:

Filed: (concurrently herewith)

For: COMPOUNDS OF FORMULA (4) TO STABILIZE LIQUID CRYSTAL DOMAINS

Commissioner for Patents
Washington, D.C. 20231

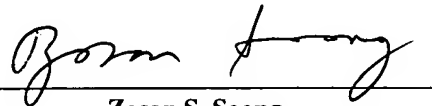
Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), **OR** (b) before the mailing date of a first Office Action on the merits. *No certification or fee is required.*
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance; **AND**
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**

- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 4. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection or Notice of Allowance, but before the payment of the Issue Fee. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p) (*A copy of this paper is attached*); **AND**
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☐ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- ☒ 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. 10/319,874, filed 12/13/2002, which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached (~~except for copies of cited pending applications~~). 37 C.F.R. §1.98(d).
- ☐ 8. A copy of each copending application recited in the parent application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.



Zosan S. Soong

Signature under 37 CFR 1.33 & 34

Registration No. 33,333

Telephone No. 585-423-4292

Date 04/21/2004

Form PTO-1449 US Dept. of Commerce PATENT & TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY DOCKET NO. A1682Q1-US-DIV	APPLICATION NO.
	APPLICANT Gabriel Iftime et al.	
	FILING DATE	GROUP ART UNIT

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
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	6,103,431	8/15/00	Tamaoki et al.	430	20
	5,847,798	12/8/98	Yang et al.	349	169
	5,691,795	11/25/97	Doane et al.	349	169
	5,625,477	4/29/97	Wu et al.	349	35
	5,661,533	8/26/97	Wu et al.	349	169

FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N
	EP	0 003 872	01-31-1979	Birchwood et al.	

OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

	Nie, J.; Linden, L.A.; Rabek, J.F.; Ekstrand, J. "Photocuring of mono- and di-functional (meth)acrylates with tris[2-(acryloyloxy)ethyl]isocyanurate", <i>European Polymer Journal</i> 35 (1999) 1491-1500. <i>duplicate item</i>
	D.K. Yang et al., "Polymer-stabilized Cholesteric Textures," <i>Liquid Crystals in Complex Geometries Formed by polymer and porous networks</i> , pp. 103-142 (Published by Taylor & Francis Ltd. 1996).
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	D.-K. Yang et al., "Cholesteric liquid crystal/polymer dispersion for haze-free light shutters," <i>Appl. Phys. Lett.</i> , Vol. 60, pp. 3102-3104 (June 1992).
	J. Nie et al., "Photocuring of mono- and di-functional (meth)acrylates with tris [2-(acryloyloxy)ethyl]isocyanurate," <i>European Polymer Journal</i> , Vol. 35, pp. 1491-1500 (1999).
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EXAMINER	DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-1449		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. A1682Q1-US-DIV		APPLICATION NO.	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT Gabriel Iftime et al.			
				FILING DATE		GROUP ART UNIT	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE		CLASS	SUB CLASS	
	5,698,359	12/16/97	Yanus et al.		430	132	
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	4,022,706	5/10/77	Davis		252	299	
	6,115,017	9/5/00	Mikami et al.		345	92	

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	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N

OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

	Gabriel Iftime et al., U.S. Application No. 10/319,870, titled "DOMAIN SIZE CONTROLLED LIQUID CRYSTALS", filed 12/13/2002 (Attorney Docket No. D/A1682).
	Gabriel Iftime et al., U.S. Application No. 10/319,890, titled "COMPOUNDS OF FORMULA (1) TO STABILIZE LIQUID CRYSTAL DOMAINS", filed 12/13/2002 (Attorney Docket No. D/A1682Q).
	Gabriel Iftime et al., U.S. Application No. 10/319,874, titled "COMPOUNDS OF FORMULAS (3) AND (4) TO STABILIZE LIQUID CRYSTAL DOMAINS", filed 12/13/2002 (Attorney Docket No. D/A1682Q1).
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	Gabriel Iftime et al., U.S. Application No. 10/319,878, titled "COMPOUNDS OF FORMULA (2) TO STABILIZE LIQUID CRYSTAL DOMAINS", filed 12/13/2002 (Attorney Docket No. D/A2440).
EXAMINER	DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	